# The Iteration Number of the Weisfeiler-Leman Algorithm [Grohe et al., 2023]

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March 3, 2025

### 1 The Weisfeiler-Leman Algorithm

The Weisfeiler-Leman (WL) algorithm is an isomorphism test. It iteratively computes an isomorphism-invariant coloring of tuples of vertices of a graph.

Two graphs are distinguished, and hence non-isomorphic, if they have different colorings. there is a well known logical characterisation:

The iteration number of k-WL, i.e., the number of iterations the algorithm requires to stabilize, this is trivially at most  $n^k - 1$ .

Let  $\chi_1, \chi_2: V^k \to C$  be colorings of k-tuples over a finite set V where C is some finite set of colors. The coloring  $\chi_1$  refines  $\chi_2$ , denoted  $\chi_1 \preceq \chi_2$ .

Let us fix  $k \ge 2$  and consider a finite relational structure  $\mathfrak{A}$ , let  $v = (v_1, \ldots, v_k) \in V^k$ . We define the atomic type of v, denoted by  $atp_{\mathfrak{A}}(v)$ , to be the isomorphism type of the ordered substructure of  $\mathfrak{A}$  induced by  $\{v_1, \ldots, v_k\}$ .

Next, we describe a single refinement step of k-WL. Let V be a finite set and let  $\chi : V^k \to C$  be a coloring of all k-tuples over V. We define the coloring  $step_k(\chi)$ :

$$step_k(\chi)(v) := (\chi(v), M_{\chi}(v))$$

For all  $v = (v_1, \ldots, v_k) \in V^k$  where  $M_{\chi}(v) := \{\{(\chi(v[w/1]), \ldots, \chi(v[w/k])) | w \in V\}\}$  and  $v[w/i] := (v_1, \ldots, v_{i-1}, w, v_{i+1}, \ldots, v_k)$  is the tuple obtained from v by replacing the i-th entry by w.

We define the initial coloring computed by k-WL on the structure  $\mathfrak{A}$  via  $\chi_k^{(0)}[\mathfrak{A}](v) := atp_{\mathfrak{A}}(v)$  for all  $v \in (V(\mathfrak{A}))^k$ .

For  $r \geq 0$  we set  $\chi_k^{(r+1)}[\mathfrak{A}] := step_k(\chi_k^{(r)}[\mathfrak{A}])$ . Since  $\chi^{(r+1)}k[\mathfrak{A}] \leq \chi^{(r)}k[\mathfrak{A}]$  for all  $r \geq 0$ , there is some minimal s such that:

$$\chi_k^{(s)}[\mathfrak{A}] \equiv \chi_k^{(s+1)}[\mathfrak{A}] \equiv \chi_k^{(\infty)}[\mathfrak{A}]$$

#### 2 Upper bound

**Theorem** 1. For all  $k \ge 2$ , the k-dimensional WL algorithm stabilizes after  $O(kn^{k-1}\log n)$  refinement rounds on all relational structures  $\mathfrak{A}$  of arity at most k.

Proven for an algorithm at least as strong as WL.

•  $\mathcal{P}$  a partition of  $V^k$ .  $\mathcal{P}$  is compatible with equality if for all  $P \in \mathcal{P}$ , for all tuples  $(v_1, \ldots, v_k), (v'_1, \ldots, v'_k) \in P$ , and all  $i, j \in [k]$  it holds that:

$$v_i = v_j$$
 iff  $v'_i = v'_j$ .

This is a condition on the size of the isomorphism.

• Moreover,  $\mathcal{P}$  is shufflable if for every  $\pi : [k] \to [k]$  and every  $P \in \mathcal{P}$  it holds that:

 $P^{\pi} := \{ (v_{\pi(1)}, \dots, v_{\pi(k)}) | (v_1, \dots, v_k) \in P \} \in \mathcal{P}$ 

Stronger than permutation, also hierarchy of complexity.

The refinement process of k-WL are shufflable and compatible with equality.

**Theorem** 2. Let V be a finite set of size n := |V|, let  $\chi_0 \ldots, \chi_\ell : V^k \to C$  be a sequence of colorings such that:

1.  $\chi_t$  is shufflable and compatible with equality for all  $t \in [0, \ell]$ ,

2. 
$$step_k(\chi_{t-1}) \succeq \chi_t$$
 for all  $t \in [\ell]$ , and

3. 
$$\chi_{t-1} \succ \chi_t$$
 for all  $t \in [\ell]$ .

Then  $\ell \leq 2n^{k-1}(\lceil k \log n \rceil + 1) = O(kn^{k-1} \log n).$ 

Note that Theorem 1 follows from Theorem 2 by observing that all colorings  $\chi_k^{(i)}[\mathfrak{A}]$  obtained from the refinement process of k-WL are shufflable and compatible with equality.

### 3 A long sequence of stable coloring

**Theorem 3.** Suppose  $n \ge 2k^2$  and let V be a set of size |V| = 2n, then there is a sequence of colorings  $\chi_0 \ldots, \chi_\ell : V^k \to C$  of length  $\ell \ge (\frac{n}{2k})^{k-1}$  such that:

- 1.  $\chi_t$  is shufflable and compatible with equality for all  $t \in [0, \ell]$ ,
- 2.  $\chi_t$  is stable for all  $t \in [0, \ell]$ , and
- 3.  $\chi_{t-1} \succ \chi_t$  for all  $t \in [\ell]$ .

Couple remarks on the previous theorems:

- Tight up to a factor  $O(\log n)$ .
- Shows the limit of the setting, and "parallelization arguments".

A k-uniform set family (over U) is a collection  $\mathcal{F}$  of k-element subsets of U. From  $\mathcal{F}$  on n points, we induce a coloring  $\chi_{\mathcal{F}}$  on 2n elements, where  $V = U \times \{0, 1\}$ , as follows:

 $((u_1, a_1), \dots, (u_k, a_k)), ((u'_1a'_1), \dots, (u'_k, a'_k))$  are the same color iff:

(A) 
$$u_i = u'_i$$
 for all  $i \in [k]$ ,

(B) 
$$(u_i, a_i) = (u_j, a_j) \Leftrightarrow (u'_i, a'_i) = (u'_j, a'_j)$$
 for all  $i, j \in [k]$ , and

(C) if  $\{u_1, \ldots, u_k\} \in \mathcal{F}$ , then  $\sum_i a_i \equiv \sum_i a'_i \mod 2$ .

**Theorem** 4 ([Babai and Frankl, 1988]). For every  $n \ge 2k^2$  there exists a k-uniform set family  $\mathcal{F}$  over a universe U of n points such that:

- 1.  $|E_1 \cap E_2| \leq k-2$  for all distinct  $E_1, E_2 \in \mathcal{F}$ , and
- 2.  $|\mathcal{F}| \ge \left(\frac{n}{2k}\right)^{k-1}$ .

We set  $\mathcal{F}_t := \{E_1, \ldots, E_t\}$  and  $\chi_t = \chi_{\mathcal{F}_t}$ , and we get stability (hard part), refinement, and compatible with equality, shufflable properties.

#### 4 Restricted intersection problems

**Definition** 5. Let L be a set of nonnegative integers. The family  $\mathcal{F}$  is L-intersecting, if  $|E \cap F| \in L$  for every pair E, F of distinct members of  $\mathcal{F}$ .

**Problem** 6 (Restricted Intersection Problem - uniform case). Let L be a set of nonnegative integers and  $k \ge 1$ . What is the maximum number of members in a k-uniform L-intersecting family of subsets of a set of n elements?

**Problem** 7 (Restricted Intersection Problem - nonuniform case). Let L be a set of nonnegative integers. What is the maximum number of members in an L-intersecting family of subsets of a set of n elements?

**Theorem** 8 (Ray-Chaudhuri – Wilson Theorem). Let L be a set of s integers and  $\mathcal{F}$  an L-intersecting k-uniform family of subsets of a set of n elements, where  $s \leq k$ . Then:

$$|\mathcal{F}| \le \binom{n}{s}$$

**Theorem** 9. For every  $k \ge s \ge 1$  and  $n \ge 2k^2$ , there exists a k-uniform family  $\mathcal{F}$  of size  $> (n/2k)^s$  on n points such that  $|E \cap F| \le s - 1$  for any two distinct sets  $E, F \in \mathcal{F}$ .

Proof. Let p be the greatest prime  $\leq n/k$ ; this way  $n/(2k) . Fix a k-subset A of <math>\mathbb{F}_p$ .  $(k \leq p \text{ because } n \geq 2k^2)$  Let X be an n-set containing  $A \times \mathbb{F}_p$ . For a function  $f : A \to \mathbb{F}_p$ , the graph  $G(f) = \{(\xi, f(\xi)) : \xi \in A\}$  is a k-subset of X.

Our set system will consist of the graphs of the polynomials of degree  $\leq s - 1$  over  $\mathbb{F}_p$ , restricted to A. It is easy to see that for two different polynomials of degree  $\leq s - 1$ , their graphs will have at most s - 1 points in common. The number of polynomials in question is  $p^s > (n/2k)^s$ .

## References

- [Babai and Frankl, 1988] Babai, L. and Frankl, P. (1988). Linear algebra methods in combinatorics i.
- [Grohe et al., 2023] Grohe, M., Lichter, M., and Neuen, D. (2023). The iteration number of the weisfeiler-leman algorithm.